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THW 3624

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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	09/750,417	
	Filing Date	December 27, 2000	
	First Named Inventor	Stuart J. CLENAGHAN, et al.	
	Art Unit	3624	
	Examiner Name	Lalita M. Hamilton	
Total Number of Pages in This Submission	21	Attorney Docket Number	74577-052

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) ( Sheets) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Return postcard
<div>Remarks</div>		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Abigail F. Cousins , Reg No 29,292
Signature	Abigail Cousins
Date	January 30, 2006

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit 3624 Examiner Lalita M. Hamilton



Application of: Stuart J. CLENAGHAN, ET AL.  
Filed: : December 27, 2000  
Serial No. : 09/750,417  
Title : METHOD AND APPARATUS FOR  
SELLING FINANCIAL INSTRUMENTS

**AMENDMENT**

Commissioner for Patents  
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I hereby certify that this paper is being deposited this date with the U.S. Postal Service as Express mail addressed to the Assistant Commissioner for Patents, Box 1450, Alexandria, VA 22313-1450

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Sir:

In response to the previous NON-FINAL Office Action dated March 28, 2005 and the Communication dated October 7, 2005, Applicants timely filed a Response together with a Petition For Extension Of Time on July 12, 2005 and a corrected Response together with a Petition For Extension Of Time on November 2, 2005. However, the claim identifiers in claims 10-17, 31-35 and 37 were determined to be incorrect, as was kindly pointed out by the Examiner in the present Communication dated January 23, 2006, and a one month term to February 23, 2006 for correcting this error was set.

Accordingly, the Applicants are now resubmitting their Response as an Amendment with the required correction. In addition, claim 36, which had depended from withdrawn claim 32, has been amended to depend directly from independent claim 25. The remainder of this paper consists of a repeat of the Response of November 2, 2005.

The Examiner is respectfully requested to favorably reconsider the above-identified patent application for the following reasons:

**The listing of claims** begins on page 3 of this paper.

**Remarks/Arguments** begin on page 15 of this paper.